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Title: Disinfection by-products generated by sodium hypochlorite and electrochemical disinfection in different process wash water and fresh-cut products and their reduction by activated carbon

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Abstract: Disinfection of fresh produce process wash water (PWW) needs to be optimized to guarantee the microbiological safety while minimizing the presence of disinfection by-products (DBPs) that can be uptaken by the washed produce. In this study, the occurrence of DBPs including trihalomethanes (THMs) and chlorate in the PWW of shredded lettuce, baby spinach and shredded carrots disinfected with sodium hypochlorite (NaClO), and electrochemical disinfection (ED) was assessed. The potential of activated carbon (AC) filtration treatment for the reduction of DBPs was also evaluated. Results showed that both disinfection treatments caused the accumulation of DBPs in PWW, reaching concentrations above the legal limits for potable water. However, there were significant differences in the concentration of DBPs due to the type of washed product and disinfection treatment. There was a lower accumulation of THMs in spinach PWW compared with lettuce and carrot PWWs. Electrochemical disinfection generated higher concentrations of DBPs in the PWW, but a significant reduction was observed when using AC. Such reduction led to a lower accumulation of chlorate in the washed produce, but it lowered the levels of free chlorine. The presence of THMs in PWW was not relevant when using these chlorine-based disinfection treatments as there was a limited transfer of THMs to the washed produce. On the contrary, a significant migration of chlorate from the PWW to the washed product occurred, even when the AC was used as a mitigation treatment.

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CONSEJO SUPERIOR DE INVESTIGACIONES CIENTÍFICAS

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G. Campbell-Platt

Professor Emeritus of Food Technology,

University of Reading

Reading, Berkshire, UK

September 20th, 2018.

Dear G. Campbell-Platt,

Please find enclosed the manuscript entitled “**Disinfection by-products generated by sodium hypochlorite and electrochemical disinfection in different process wash water and fresh-cut products and their reduction by activated carbon**”, submitted for publication in the journal Food Control. The aim of the present study was the evaluation of the accumulation of disinfection by-products (DBPs), particularly THMs and chlorate, in process wash water and washed fresh produce using sodium hypochlorite and electrochemical disinfection. The reduction of DBPs by active carbon filtration was also evaluated. We hope you find the manuscript interesting and suitable to be accepted in this journal.

Thank you for your consideration.

Yours sincerely,

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1 **Disinfection by-products generated by sodium hypochlorite and electrochemical**
2 **disinfection in different process wash water and fresh-cut products and their**
3 **reduction by activated carbon**

4

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12 **Abstract**

13 Disinfection of fresh produce process wash water (PWW) needs to be optimized
14 to guarantee the microbiological safety while minimizing the presence of disinfection
15 by-products (DBPs) that can be uptaken by the washed produce. In this study, the
16 occurrence of DBPs including trihalomethanes (THMs) and chlorate in the PWW of
17 shredded lettuce, baby spinach and shredded carrots disinfected with sodium
18 hypochlorite (NaClO), and electrochemical disinfection (ED) was assessed. The
19 potential of activated carbon (AC) filtration treatment for the reduction of DBPs was
20 also evaluated. Results showed that both disinfection treatments caused the
21 accumulation of DBPs in PWW, reaching concentrations above the legal limits for
22 potable water. However, there were significant differences in the concentration of DBPs
23 due to the type of washed product and disinfection treatment. There was a lower
24 accumulation of THMs in spinach PWW compared with lettuce and carrot PWWs.
25 Electrochemical disinfection generated higher concentrations of DBPs in the PWW, but
26 a significant reduction was observed when using AC. Such reduction led to a lower
27 accumulation of chlorate in the washed produce, but it lowered the levels of free
28 chlorine. The presence of THMs in PWW was not relevant when using these chlorine-
29 based disinfection treatments as there was a limited transfer of THMs to the washed
30 produce. On the contrary, a significant migration of chlorate from the PWW to the
31 washed product occurred, even when the AC was used as a mitigation treatment.

32

33 **Keywords:** *chemical risk, microbial safety, process water, minimally processed, cross-*
34 *contamination, fresh produce.*

35 1. Introduction

36 The fresh-cut produce industry requires significant quantities of water for the
37 washing operation of fresh salads, and thus water is recycled to meet the high water
38 demand (Casani, Rouhany, & Knøchel, 2005). When water is reused, the
39 microbiological safety of the process wash water (PWW) needs to be guaranteed by
40 using suitable disinfection technologies (Gil, Selma, López-Gálvez, & Allende, 2009).
41 However, the use of chemical disinfectants can generate disinfection by-products
42 (DBPs) that can accumulate in the PWW and be uptaken by the produce, affecting its
43 chemical safety (Gil, Marín, Andujar, & Allende et al., 2016).

44 Chlorine as sodium hypochlorite (NaClO) is the disinfectant most frequently used
45 to guarantee the microbiological safety of PWW (Teng et al., 2018). Electrochemical
46 disinfection (ED) is another powerful technique for the inactivation of microorganisms
47 in PWW (Gómez-López, Gil, & Allende, 2017; López-Gálvez et al., 2012). The
48 disinfectant capacity of ED is based on the generation of hypochlorous acid and
49 hydrogen peroxide as well as other reactive oxygen species and the direct oxidation at
50 the surface of the anode (Anglada, Urtiaga, & Ortiz, 2009). The main disadvantage of
51 using chlorine-based disinfectants is the formation and accumulation of both organic
52 DBPs such as trihalomethanes (THMs) and haloacetic acids (HAA) and inorganic DBPs
53 as chlorate (Gil et al., 2016; Gómez-López, Lannoo, Gil, & Allende, 2014; Gómez-
54 López et al., 2017; Shen, Norris, Williams, Hagan, & Li, 2016). During washing of
55 fresh-cut produce, exudates are released into the PWW, increasing the concentration of
56 organic matter and therefore the disinfectant demand. In most of the cases, the presence
57 of organic matter in the chlorinated wash water is linked to the formation of organic
58 DBPs like THMs (Gil et al., 2009). However, not all organic matter reacts in the same
59 way with the disinfectants (Chen & Hung, 2016; Toivonen & Lu, 2013). Fan & Sokorai

60 (2015) observed that the formation of THMs depended on the reactivity of the organic
61 matter released from the product exudates.

62 Different studies have shown that the DBPs present in the PWW can be uptaken
63 by the produce during washing, although rinsing the product can help to alleviate this
64 problem (Fan & Sokorai, 2015; Gómez-López et al., 2017; López-Gálvez et al., 2010;
65 Nitsopoulos, Glaumer, & Friedle, 2014). The presence of DBPs linked to chlorinated
66 water in commercial samples has evidenced a potential risk for consumers (Coroneo et
67 al., 2017; Kaufmann-Horlacher et al., 2014). A possible mitigation treatment to reduce
68 the presence of DBPs in PWW is by filtration through activated carbon (AC). This
69 technology is useful in the removal of THMs and their precursors (organic matter)
70 (USEPA, 1981, 1999). Activated carbon has also shown promising results for the
71 removal of chlorate in drinking water treated with chlorine dioxide (Gonce & Voudrias,
72 1994; Sorlini, Biasibetti, Gialdini, & Collivignarelli, 2016; USEPA, 2016).

73 In the present study, the accumulation of THMs and chlorate in the process wash
74 water and washed product by sodium hypochlorite and electrochemical disinfection was
75 assessed. The reduction of DBPs by AC filtration was also evaluated. PWWs generated
76 from the three main fresh-cut products, shredded lettuce, baby spinach, and shredded
77 carrots, were compared.

78

79 **2. Materials and methods**

80 *2.1. Process wash water and pilot plant trials*

81 Three different types of PWWs were generated from shredded iceberg lettuce
82 (*Lactuca sativa* L.), baby spinach (*Spinacia oleracea* L.) and shredded carrots (*Daucus*
83 *carota* L.). Fresh vegetables were obtained from a local grocery store and kept
84 refrigerated until their use the same day of purchase. In the case of lettuce heads, outer

85 and damaged leaves were discarded, and the remaining leaves were cut in ≈ 6 mm strips.
86 Baby spinach leaves were used without further processing. Carrots were peeled and
87 shredded manually. To generate the PWWs, aliquots of each product were placed in a
88 stomacher bag with filter and tap water was added in a ratio product weight:water
89 volume of 1:2. Then, the mix was homogenized in a stomacher for 2 min. After that, the
90 homogenate was poured through the filter to obtain the PWW.

91 The disinfection trials were performed in a cold room in a temperature range of 4-
92 8 °C using a dynamic system previously described (Gómez-López, Marín, Medina-
93 Martínez, Gil, & Allende, 2013; Gómez-López et al., 2014). This dynamic system
94 simulates a fresh produce wash system in which disinfectant and the organic matter
95 (including the microbial load) changed over time. The system comprises a
96 polypropylene tank for concentrated PWW, a second tank with the disinfectant solution
97 (NaClO), two peristaltic pumps for dosing the PWW and the disinfectant, a stainless
98 steel deposit (30 L) simulating the washing tank, a cooling system consisting in a
99 stainless steel heat exchanger connected to a refrigerated water bath (model LTDG6,
100 Grant, Cambridge, UK), a centrifuge pump for water recirculation, a control board with
101 an overflow valve, rotameter, and a flow control valve. Process temperature was
102 controlled by placing the heat exchanger of the cooling system into the treatment tank.
103 In all the trials, the water recirculation rate was adjusted to 750 L/h.

104

105 2.2. *Sodium hypochlorite and electrochemical disinfection*

106 Process wash water was treated with sodium hypochlorite (NaClO) and
107 electrochemical disinfection (ED). In both cases, a volume of 6 L of PWW was placed
108 into the washing tank before starting the experiment. Each test lasted 8 h, and water
109 samples were taken after 3, 6 and 8 h. To study the uptake of DBPs by fresh-cut

110 produce, baby spinach and shredded lettuce (200 g each replicate) were hand-washed
111 for 1 min in the PWW that was disinfected previously for 6 h as an example of a worst-
112 case scenario for the accumulation of DBPs. After washing, produce was rinsed with tap
113 water for 30 s and dewatered for 1 min using a manual salad spinner.

114

115 *2.2.1. Sodium hypochlorite*

116 Chlorine as NaClO was applied as explained in [Gómez-López et al. \(2014\)](#).
117 Briefly, a chlorine solution of NaClO was prepared by diluting food grade bleach
118 (Industrias Gamer, Murcia, Spain) in distilled water to reach a free chlorine
119 concentration of ≈ 300 mg/L. Chlorine was dosed as needed using a peristaltic pump to
120 keep the free chlorine concentration between 3 - 5 mg/L. The inflow rate of the NaClO
121 solution ranged between 1.2 and 1.8 L/h. The pH was adjusted to ≈ 6.5 using citric acid.

122

123 *2.2.2. Electrochemical disinfection*

124 This treatment was applied using the equipment provided by WaterDiam France
125 S.A.S. (Franken, France) coupled with the dynamic system described before. This
126 equipment included a power supply, control board, and an electrolytic cell (Diacell 401)
127 equipped with 4 cells placed in parallel containing boron-doped diamond (BDD) in the
128 cathode and anode. The inter-electrode gap between the anodic and cathodic
129 compartment was 1 mm. PWW was pumped from the treatment tank through the
130 electrolytic cell returning to the tank and starting the cycle again. In these
131 electrochemical disinfection tests, NaCl was initially added to the tank to reach a
132 concentration of 0.25 g/L in the water to obtain the desired residual free chlorine
133 concentration (3 - 5 mg/L).

134

135 2.3. *Activated carbon (AC)*

136 The effectiveness of an AC filter treatment for the removal of DBPs in PWW
137 treated with chlorine and electrochemical disinfection was assessed. A granular AC
138 filter was coupled in the pilot plant system for this purpose. Disinfection treatments
139 were performed as explained before for NaClO and with some modifications for the
140 electrochemical disinfection trials (**Table 1**). In ED, PWW was passed through the
141 electrolytic cell, and a small part was diverted into the AC filter before returning to the
142 treatment tank. In these trials, higher NaCl concentration (0.5-1.5 g/L) was added due to
143 the higher chlorine demand of the PWWs. In these tests, an electrolytic cell Diacell 201
144 with an overall effective anode surface area of 67 cm² was used instead of the Diacell
145 401 mentioned before following the manufacturer recommendations.

146

147 2.4. *Physicochemical analyses of process wash water*

148 Free and total chlorine were measured using a photometer (Spectroquant NOVA
149 60, Merck, Darmstadt, Germany) with the appropriate kits. Temperature, pH, and ORP
150 were performed using a multimeter (pH and redox 26; Crison, Barcelona, Spain).
151 Chemical oxygen demand (COD) was determined by the standard photometric method
152 ([APHA, 1998](#)) using a photometer (Spectroquant NOVA 60, Merck) with the
153 appropriate kits. Turbidity was measured using a turbidimeter (Turbiquant 3000 IR,
154 Merck) and conductivity was determined using a conductivity meter (CM35 Crison,
155 Barcelona, Spain). Alkalinity was measured by potentiometric titration until pH 4.3 with
156 HCl and a pH meter (Crison, Barcelona, Spain).

157

158 2.5. *Microbiological analyses of process wash water*

159 At each sampling time, water samples (n=3) were taken in sterile bottles
160 containing enough solid sodium thiosulphate pentahydrate (0.5–1.5 g) (Scharlau,
161 Barcelona, Spain) for the quenching of disinfectant residuals (López-Gálvez, Tudela,
162 Allende, & Gil, 2018). Serial dilutions were prepared as needed using buffered peptone
163 water (BPW, 2 g/L) (Oxoid, Basingstoke, UK). Counts of cultivable aerobic mesophilic
164 bacteria and total coliforms were assessed by plating in the appropriate culture media.
165 For the assessment of aerobic mesophilic counts, samples were plated on plate count
166 agar (PCA, Scharlab, Barcelona, Spain) incubated at 30 °C for 36-48 h. For total
167 coliforms, Chromocult coliform agar (Merck) plates were incubated at 37 °C for 24 h.

168

169 *2.6. Disinfection-by products in process wash water and washed product*

170 At each sampling time, samples of PWW (n=3) and fresh-cut product (n=4) were
171 taken for THM and chlorate analyses as previously described (López-Gálvez et al.,
172 2018). Water samples (120 mL) were taken in amber glass bottles filled up to the top to
173 minimize headspace and kept tightly closed under refrigeration until analysis.
174 Trihalomethanes (THMs) were analyzed by GC–MS (Gómez-López et al., 2013) using
175 a calibration mix (EPA 501/601, Supelco, Bellefonte, PA, USA). The analysis of THMs
176 was carried out by GC-MS (Gómez-López et al., 2013) and the concentration of
177 individual THMs including trichloromethane (TCM), bromodichloromethane (BDCM),
178 dibromochloromethane (DBCM), and tribromomethane (TBM) was expressed as total
179 THMs. For chlorate, samples of 30 mL were taken in 50 mL polypropylene tubes and
180 analyzed by UPLC-MS (Gil et al., 2016). Results were expressed in µg/L for THMs and
181 in mg/L for chlorates.

182 In the case of the fresh-cut product, samples of 30 g each were chopped
183 (Moulinex A320, Moulinex, Ecully, France). For THM analysis, chopped produce (1 g)

184 was transferred to SPME vials. Samples were analyzed by GC–MS as explained before
185 and results were expressed in µg/kg of fresh weight (FW) (Gómez-López et al., 2013).
186 For chlorate analysis, chopped produce (10 g) was extracted with a solution of formic
187 acid (1%) in methanol, sonicated vortexed and centrifuged. The supernatant was filtered
188 through 0.22 µm filters and analyzed by UPLC-MS (Gil et al., 2016). Results were
189 expressed in mg/kg FW.

190

191 2.7. *Statistical analysis*

192 For statistical analyses, IBM SPSS statistics 24 was used. The Shapiro–Wilk test
193 was performed to assess the normality of the data ($P > 0.05$). Levene’s test was used to
194 test the homogeneity of variance. When normality was assumed, T-tests or ANOVA
195 were used to compare treatments. Tukey's HSD or Dunnett's were used as post hoc tests
196 depending on the homogeneity of the variances. When data was not following a normal
197 distribution, non-parametric tests (Kruskal-Wallis, Mann-Whitney) were applied.

198

199 3. Results

200 3.1. *Chlorine demand of process wash water*

201 The physicochemical characteristics of the PWWs induced significant differences
202 on the chlorine demand (**Table 2**). The pH, ORP, turbidity, COD and mesophilic
203 bacteria counts were similar in lettuce and spinach PWWs and different from carrot
204 PWW (**Table 2**). On the other hand, conductivity and alkalinity were similar for lettuce
205 and carrot PWWs and different from spinach PWW. For total coliforms, carrot PWW
206 showed the highest counts (**Table 2**). The chlorine demand of PWWs from shredded
207 lettuce, baby spinach, and shredded carrots was estimated. Lettuce-PWW showed
208 higher chlorine demand than spinach and carrot PWWs (**Figure 1**). The addition of

209 more than 70 mg of free chlorine (from NaClO) per liter of PWW was needed to reach a
210 residual free chlorine concentration of 5 mg/L in lettuce PWW. On the contrary, the
211 addition of ≈ 20 mg/L of free chlorine (from NaClO) in spinach and carrot PWWs was
212 enough to reach the free chlorine level of 5 mg/L established (**Figure 1**).

213

214 3.2. *Generation of disinfection-by products by sodium hypochlorite and* 215 *electrochemical disinfection in different process wash waters*

216 To understand the generation of DBPs, the physicochemical characteristics of the
217 PWWs (shredded lettuce, baby spinach, and shredded carrot) over 8 h of disinfection
218 were examined (**Table 3**). The average pH was similar for all the PWWs disinfected
219 with NaClO and spinach PWW treated with ED (6.7-6.8) while the pH was lower
220 ($p < 0.05$) in lettuce and carrot PWWs treated with ED (5.2 and 6.1, respectively). It was
221 remarkable that the average ORP was significantly higher ($p < 0.05$) in those PWWs
222 disinfected with NaClO compared with ED (**Table 3**). The highest average COD was
223 detected in NaClO carrot PWW (1743 mg O₂/L), and the lowest in spinach PWW
224 treated with ED (759 mg O₂/L). After the whole 8 h experiment, free chlorine levels
225 were always maintained higher when NaClO was used compared with ED (**Table 3**).
226 The average concentration of total chlorine in PWWs from the highest to the lowest
227 was: NaClO-lettuce > NaClO-spinach > NaClO-carrot = ED-lettuce > ED-spinach > ED-
228 carrot.

229 When THM formation was evaluated, it was observed that the average
230 concentration of THMs differed significantly depending on the disinfection treatment
231 and PWWs (**Figure 2**). As a general trend, the accumulation of THMs was always
232 higher ($p < 0.05$) in ED than in NaClO disinfected PWWs (**Figure 2**). The concentration
233 of THMs in the different PWWs can be ordered as carrot > lettuce > baby spinach in both

234 NaClO and ED treatments. The highest concentration of THMs was accumulated in
235 carrot PWW treated with ED (1300 µg/L) while the lowest level was accumulated
236 spinach PWW disinfected with NaClO (\approx 100 µg/L). The concentration of individual
237 THMs such as trichloromethane (TCM), bromodichloromethane (BDCM),
238 dibromochloromethane (DBCM) and tribromomethane (TBM) was also examined (data
239 not shown). TCM represented more than 90% of all the THMs detected. The
240 concentration of TBM was higher in all PWWs treated with NaClO than those treated
241 with ED. However, only in carrot PWW, a higher concentration of BDCM was
242 observed when treated with NaClO than ED. Also, there were no differences in the
243 accumulation of DBCM in any of the PWWs due to the different disinfection
244 technologies.

245

246 *3.3. Removal of disinfection-by products generated by sodium hypochlorite in lettuce* 247 *wash water and reduction in the washed product by using activated carbon (AC)*

248 For the assessment of the potential use of AC for the mitigation of DBP
249 accumulation, the physicochemical characteristics of lettuce PWW over 6 h disinfected
250 with NaClO were examined (**Table 4**). The average pH was in the range 6.2-6.6.
251 Average COD value was significantly higher in NaClO without AC ($p < 0.05$). Free
252 chlorine was similar in NaClO filtered or not through AC.

253 Accumulation of THMs and chlorate in lettuce PWW disinfected with NaClO
254 filtered or not through AC is shown in **Figure 3A**. In the case of NaClO treated lettuce
255 PWW, the use of AC decreased 57.3% and 81.5% the concentrations of THMs and
256 chlorate, respectively. When the individual THMs were examined it was observed that
257 the concentration of TCM was significantly higher ($p < 0.05$) in PWW treated with

258 NaClO without AC filtration. Regarding the concentrations of BDCM, DBCM, and
259 TBM, there were no differences between NaClO with or without AC (**Figure 3A**).
260 When the content of chlorate was examined in lettuce PWW, AC filtration decreased
261 this content significantly ($p<0.05$) compared with that without AC.

262 The transfer of DBPs from the PWW to the washed product was studied as well as
263 the mitigation effect of AC. The concentration of THMs and chlorate in lettuce washed
264 in PWW disinfected with NaClO filtered or not through AC is shown in **Figure 3B**. The
265 concentration of TCM was significantly reduced ($p<0.05$) when lettuce was washed in
266 PWW treated with NaClO filtered with AC. However, no differences in the
267 concentration of BDCM, DBCM, and TBM were observed in lettuce washed in PWW
268 filtered or not through AC, probably because of the low content of these individual
269 THMs. Regarding chlorate concentration, it was significantly higher ($p<0.05$) in lettuce
270 washed in PWW disinfected with NaClO without filtered through AC.

271

272 *3.4. Removal of disinfection-by products generated by electrochemical disinfection in* 273 *spinach wash water and the washed product by activated carbon (AC)*

274 To assess the potential of AC to mitigate the accumulation of DBPs in spinach
275 PWW treated with ED over 6 h, the physicochemical characteristics were first examined
276 (**Table 4**). The average pH and COD were significantly higher in the trials with AC
277 ($p<0.05$) while the redox potential (ORP) was significantly higher ($p<0.05$) in the
278 experiments performed without AC. On the other hand, no significant differences in free
279 chlorine and total chlorine ($p>0.05$) between treatments were detected (**Table 4**).

280 When the removal of THMs and chlorate generated in spinach PWW treated with
281 ED by AC was studied it was observed that the concentration of both DBPs was

282 significantly lower ($p < 0.05$) when the PWW was filtered through AC (**Figure 4A**). The
283 concentration of total THMs and chlorate was 95.6% and 90.4% lower, respectively, in
284 filtered spinach PWW.

285 The content of DBPs in baby spinach washed in PWW treated with ED for 6 h
286 and filtered or not through AC is shown in **Figure 4B**. The concentration of THMs in
287 the washed product was very low ($< 10 \mu\text{g}/\text{kg}$). No differences were observed in the
288 concentration of TCM and BDCM when the PWW was filtered or not through AC. In
289 contrast, the concentration of DBCM and TBM was lower in spinach samples washed in
290 water treated with AC. Baby spinach washed in PWW treated with ED and filtered or
291 not through AC had a similar chlorate content.

292

293 **4. Discussion**

294 In the present study, lettuce PWW showed the highest chlorine demand despite
295 having similar COD than that of spinach PWW and even lower than that of carrot
296 PWW. The chlorine demand of PWW is mainly caused by the presence of organic
297 matter ([Chen & Hung, 2017](#); [Weng et al., 2016](#)). Thus, different constituents of the
298 organic matter affect in a different way the chlorine demand and therefore the
299 generation of DBPs. Several plant constituents such as phenolic compounds have higher
300 chlorine demand than carbohydrates ([Chen & Hung, 2016](#); [Toivonen & Lu, 2013](#)).
301 Considering the composition of the three types of PWWs studied, it is clear that lettuce
302 PWW exerted the highest chlorine demand due to the high content on caffeoylquinic
303 acids, such as chlorogenic acid, that can be easily oxidized by chlorine, showing a
304 higher chlorine demand ([Llorach, Martínez-Sánchez, Tomás-Barberán, Gil, & Ferreres,](#)
305 [2008](#)). In the case of spinach PWW, even though the content of some constituents such
306 as flavonoids is high, they cannot be oxidized as easily as the phenolic acids ([Gil,](#)

307 Ferreres & Tomás-Barberán, 1999). Also, spinach shows a higher content of ascorbic
308 acid than lettuce which can prevent the oxidation of constituents (Bunea et al., 2008;
309 Llorach et al., 2008; Rothwell et al., 2013; USDA, 2017).

310 During the experiments performed to test the formation of THMs in different
311 PWWs, TCM (chloroform) was the predominant THMs as shown in previous studies
312 with other chlorinated disinfectants (Gómez-López et al., 2013; Gómez-López et al.,
313 2017; Shen et al., 2016). In our case, we observed that even though the free chlorine
314 was higher in the NaClO tests, the concentration of THMs was significantly higher in
315 PWWs treated with ED. In a previous study, Gómez-López et al. (2013) reported no
316 significant differences between the concentration of THMs in PWW treated with NaClO
317 and ED, when using the same treatment conditions used in the current study. The
318 observed differences could be due to variances in the composition of the used PWWs or
319 the final free chlorine concentrations reached in each study (Table 2).

320 When the different PWWs were compared, we observed that the higher
321 concentration of THMs in carrot>lettuce>spinach could be partially explained by the
322 differences in the COD as the free chlorine levels were similar. However, organic
323 matter concentration is not always correlated with the formation of THMs. Fan &
324 Sokorai (2015) observed a higher formation of TCM in lettuce PWW compared with
325 onion PWW, although the latter showed higher COD and turbidity. Shen et al. (2016)
326 detected in lettuce PWW disinfected with chlorine a correlation between the
327 concentration of THMs in PWW with the free chlorine but not with COD.

328 When PWW was filtered through AC, COD decreased because of the removal of
329 organic matter (USEPA, 1981, 1999). In the case of spinach and lettuce PWWs filtered
330 through AC, the concentration of THMs decreased to levels accepted for water intended
331 for human consumption in the EU (<100 µg/L; EU, 1998). The potential of AC for the

332 removal of THMs in drinking water has been observed for a long time (USEPA, 1981).
333 However, to the best of our knowledge, the AC filtration has not been applied for
334 PWW. In contrast, the chlorate concentrations in all disinfected PWW were above the
335 level that the World Health Organization guideline recommended for drinking water
336 (0.7 mg/L; WHO, 2011). However, when AC was combined with the disinfection
337 treatment, a significant reduction in the concentration of chlorate in the PWW was
338 achieved. Accordingly, some studies have shown that AC has some efficacy for the
339 removal of chlorate present in drinking water (Gonce & Voudrias, 1994; Sorlini et al.,
340 2016).

341 In the present study, low concentration of THMs (<10 µg/kg) was detected in the
342 washed product even though there was a high concentration of THMs (>200 µg/L) in
343 the PWWs. The concentration of THMs detected in the washed product, in µg/kg, was
344 always lower than the maximum concentration acceptable in drinking water for human
345 consumption (100 µg/L; EU, 1998). It should be taken into account that there is no a
346 maximum residue level (MRL) for THMs in fresh produce. In previous lab scale studies
347 in our lab, low concentrations of THMs (<10 µg/L) were observed in produce washed in
348 PWW with a high concentration of THMs >200 µg/L (Gómez-López et al., 2017;
349 Gómez-López et al., 2013; López-Gálvez et al., 2010). In contrast, when using longer
350 produce-water contact times, Huang & Batterman (2010) observed a higher transfer of
351 THMs from water to produce. However, the relationship between this lab scale results
352 and the exposure of consumers to THMs present in commercial minimally processed
353 fresh produce is not well characterized. Coroneo et al. (2017), for example, detected
354 concentrations of THMs of ≈ 77 µg/kg in commercial samples of ready to eat vegetables
355 washed in chlorinated water. In our study, the removal of THMs in the PWWs by AC
356 was evidenced. However, in the case of chlorate, the mitigation of its accumulation by

357 AC was not enough, and therefore the concentration of chlorate in washed produce was
358 higher than the levels proposed by the European Commission (0.01 mg/kg) (AHBD,
359 2017). Gil et al. (2016) reported lower concentrations of chlorate in washed shredded
360 lettuce at commercial scale probably because of the high water replenishment, avoiding
361 the accumulation of COD and reducing the chlorine demand.

362

363 **5. Conclusions**

364 This study provides additional evidence that in fresh produce wash water, not only
365 the amount but also the type of organic matter affects the chlorine demand. Links were
366 identified between the concentration of DBPs and the type of vegetable wash water
367 and/or the type of disinfection technology applied. Treated lettuce PWW had more
368 THMs than that of carrot and spinach PWWs. In general, ED was associated with a
369 higher accumulation of DBPs than NaClO. Chlorinated disinfection technologies lead to
370 the occurrence of DBPs in PWW above the legal or recommended limits of these
371 compounds in water for human consumption. The transfer of THMs to the washed
372 produce was limited, while the transfer of chlorate was significant. The use of activated
373 carbon reduced significantly the concentration of DBPs in water, with consequences in
374 the concentration of chlorate in the washed produce.

375

376 **Competing interests statement**

377 The authors have no conflicts of interest to declare.

378

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384

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497 **Table 1.** Conditions performed in lettuce and spinach process wash water (PWWs) treated with sodium hypochlorite (NaClO) and
 498 electrochemical disinfection (ED) for the activated carbon (AC) test.

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PWW	Disinfection technology	AC	Filter flow (L/h)	pH regulation	NaCl (%)
Lettuce	NaClO	No	0	Phosphoric acid	0
Lettuce	NaClO	Yes	30	Phosphoric acid	0
Spinach	ED	No	0	Citric acid	0.05
Spinach	ED	Yes	50	Citric acid	0.05

505 **Table 2.** Physicochemical and microbiological characteristics of process wash water (PWW) for the assessment of chlorine demand.

PWW	pH	ORP (mV)	Conductivity (μS/cm)	Turbidity (NTU)	COD (mg/L)	Alcalinity (mEq/L)	Mesophilic bacteria (log cfu/mL)	Total coliforms (log cfu/mL)
Lettuce	7.4 \pm 0.0	253 \pm 11	1422 \pm 11	56 \pm 2	2685 \pm 35	183 \pm 00	6.7 \pm 0.1	4.3 \pm 0.3
Spinach	7.8 \pm 0.0	230 \pm 00	2403 \pm 11	97 \pm 15	2328 \pm 13	970 \pm 28	6.9 \pm 0.0	5.5 \pm 0.3
Carrot	6.3 \pm 0.0	142 \pm 17	1165 \pm 26	298 \pm 5	3765 \pm 49	163 \pm 9	7.7 \pm 0.2	6.4 \pm 0.1

506 Data are the mean (n=2) \pm standard deviation. No statistical analysis was performed due to the limited number of data available.

507 **Table 3.** Physicochemical characteristics of process wash water (PWWs) treated with sodium hypochlorite (NaClO) or electrochemical
 508 disinfection (ED) for the assessment of trihalomethanes formation.

509

510	Disinfection technology	PWW	pH	ORP (mV)	COD (mg O₂/L)	Free chlorine (mg/L)	Total chlorine (mg/L)
511	NaClO	Lettuce	6.8±0.1a	685±252ab	1140±364b	5.3±5.7ab	48.8±43.2a
512	NaClO	Spinach	6.8±0.1a	519±132bc	1177±155b	7.1±3.3a	29.3±12.2a
513	NaClO	Carrot	6.7±0.2a	792±236a	1743±188a	7.2±3.6a	15.9±6.9b
514	ED	Lettuce	5.2±0.4c	371±116d	1315±448b	1.9±1.2b	16.4±11.7b
515	ED	Spinach	6.7±0.1a	177±91e	759±413c	3.0±3.2b	11.9±6.4b
515	ED	Carrot	6.1±0.2b	229±130e	1236±543b	1.3±0.6b	5.2±2.9c

516 Data are the mean (n= 9)±standard deviation of the values measured over 8 h of disinfection period. Different letters within the same column
 517 indicate significant differences (p<0.05). ORP: Oxidation-reduction potential. COD: Chemical oxygen demand.

518

519 **Table 4.** Physicochemical characteristics of lettuce process wash water treated with sodium hypochlorite (NaClO), and spinach process wash
 520 water treated with electrochemical disinfection (ED) with and without activated carbon (AC) filtration.

Disinfectant	AC	pH	ORP (mV)	COD (mg/L)	Free chlorine (mg/L)	Total chlorine (mg/L)
NaClO	No	6.6±0.3a	902±100a	592±227a	3.3±1.2a	18.4±9.4a
NaClO	Yes	6.4±0.4b	843±153a	327±92b	3.6±1.4a	15.5±6.7a
ED	No	6.8±0.2B	331±141A	141±60B	2.8±2.6A	7.8±3.3A
ED	Yes	9.3±0.2A	172±1B	265±91A	1.5±0.7A	3.5±1.5A

521 Data are the mean (n=7)±standard deviation of the values measured over 6 h in two independent tests. Different letters in the same column for
 522 the same process wash water indicate significant differences (p<0.05).

523 **Figure captions**

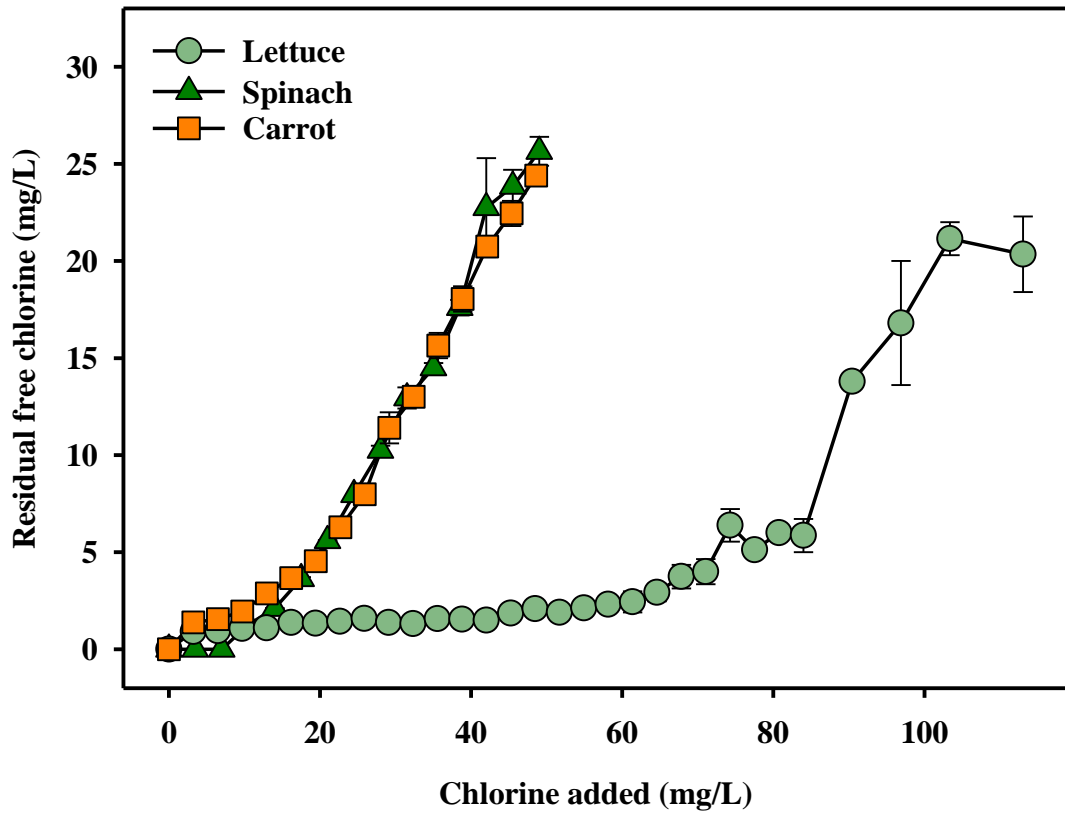
524 **Figure 1.** Chlorine demand represented as residual free chlorine versus chlorine added
525 as NaClO (mg/L) to the different process wash water (See Table 2 for PWW
526 characterization).

527 **Figure 2.** Concentration of trihalomethanes ($\mu\text{g/L}$) accumulated in the different process
528 wash water treated with NaClO or electrochemical disinfection (ED). Different letters
529 indicate significant differences ($p < 0.05$). (See Table 3 for PWW characterization).

530 **Figure 3.** Concentration of trihalomethanes ($\mu\text{g/L}$) and chlorate (mg/L) in lettuce
531 process wash water (A) treated with NaClO combined or not with activated carbon
532 (AC) and in the washed product (B). Bars are the mean ($n=7$) \pm standard deviation of
533 samples taken over 6 h treatment. Different letters indicate significant difference
534 ($p < 0.05$). (See Table 4 for PWW characterization).

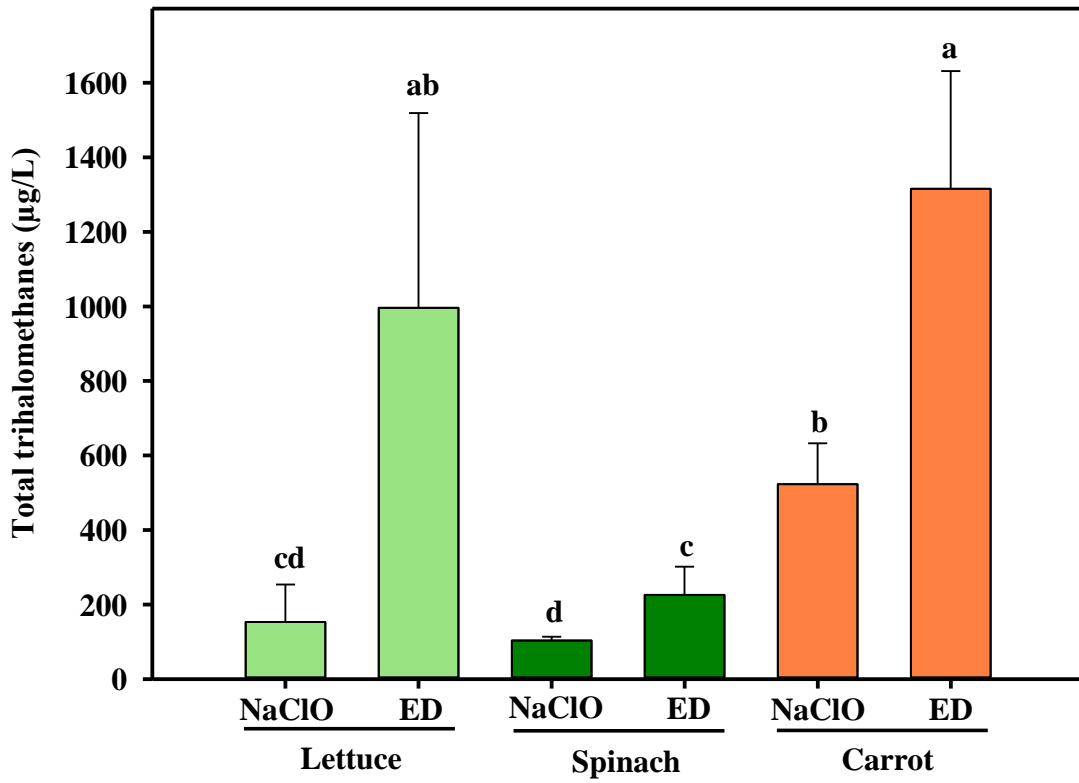
535 **Figure 4.** Concentration of trihalomethanes ($\mu\text{g/L}$) and chlorate (mg/L) in spinach
536 process wash water (A) treated with electrochemical disinfection (ED) combined or not
537 with activated carbon (AC) and in the washed product (B). Bars are the mean
538 ($n=6$) \pm standard deviation of the samples taken over 6 hours treatment. Different letters
539 indicate significant difference ($p < 0.05$). (See Table 4 for PWW characterization).

540 **Figure 1.**



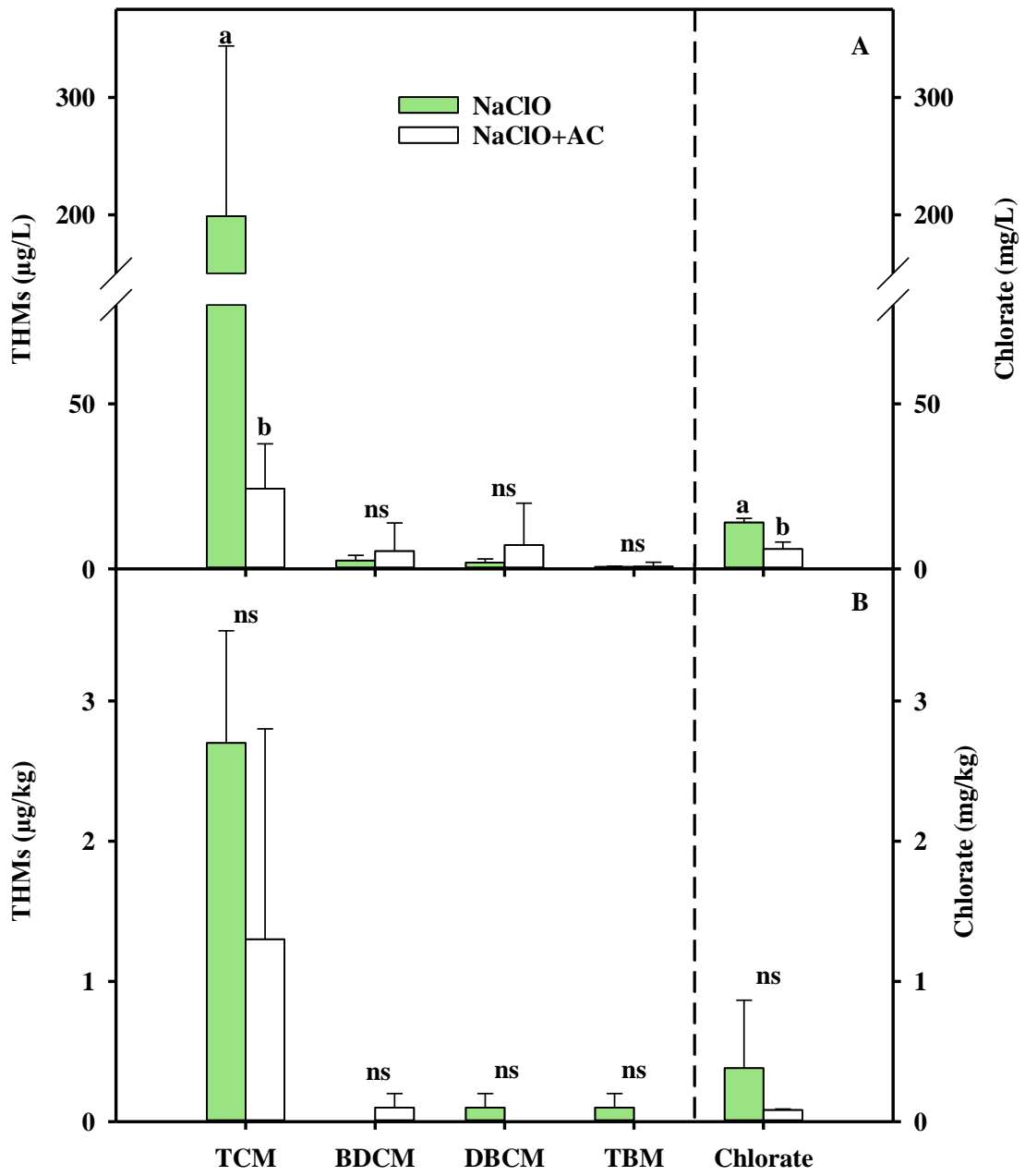
541

542 **Figure 2**



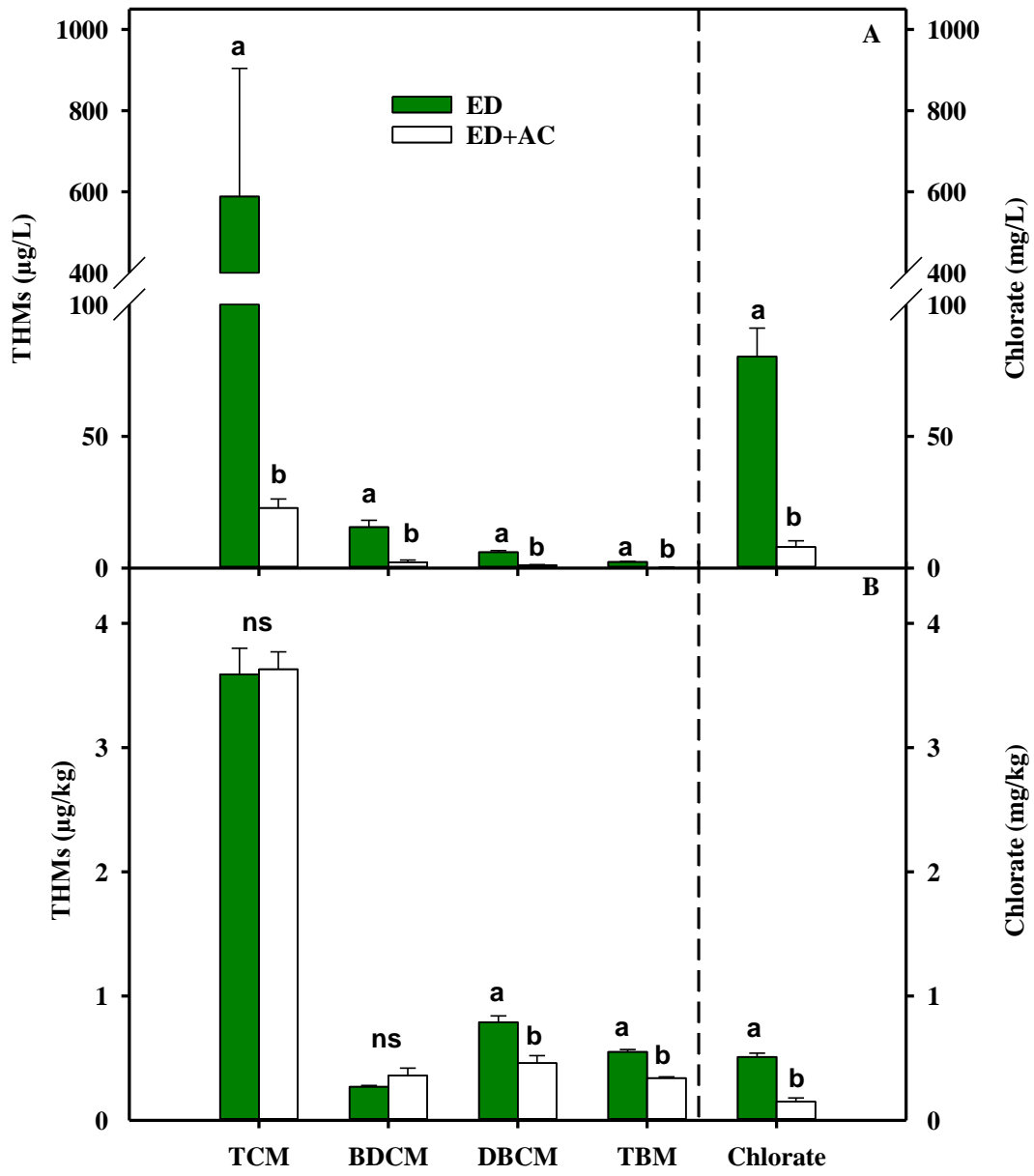
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544 **Figure 3**



545

546 **Figure 4**



547

Highlights

- Electrochemical disinfection leads to higher levels of disinfection by-products
- Occurrence of trihalomethanes is affected by the type of fresh produce wash water
- Activated carbon filtration reduces disinfection by-products in produce wash water
- Limited transfer of trihalomethanes from produce wash water to the washed product
- Significant transfer of chlorate from produce wash water to the washed product